

**RATLIFF-RUSH FILTRATION INDUCED BY A GOOD FILTRATION**Assane Abdoulaye<sup>1</sup> and Damase Kaman

ABSTRACT. In this note, we introduce and study the notion of Ratliff-Rush filtration associated with an good filtration which generalize the notion of Ratliff-Rush closure of an ideal introduce by L.J. Ratliff and D.Rush. We establish a semi prime operation in the class of good filtrations which is a refinement of the prufferian closure of filtration, we generalize a theorem of Samuel on power of ideals.

**1. INTRODUCTION**

Let  $A$  be a commutative ring and  $I$  be an ideal of  $A$ . The Ratliff-Rush ideal associated to  $I$  is defined in [8] by:

$$\tilde{I} = \bigcup_{i \geq 0} (I^{i+1} : I^i).$$

This ideal has been studied in [2–4, 9]. Some nice proprieties has been established, for instance if  $I$  is regular then:

$$I \subset \tilde{I} \subset \bar{I} \subset \sqrt{I},$$

where  $\bar{I}$  is the integral closure of  $I$ . For all large  $n$ ,

$$\tilde{I}^n = I^n.$$

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The notion of Ratliff-Rush filtration associated to an ideal has been defined in [10], [12] by the sequence:

$$\{\tilde{I}^n = \bigcup_{i \geq 0} (I^{n+i} : I^i)\}_{n \in \mathbb{N}}.$$

In [11], the authors introduce the notion of Ratliff-Rush filtration associated to an  $I$ -filtration by the family:

$$\{\tilde{I}_n = \bigcup_{i \geq 0} (I_{n+i} : I^i)\}_{n \in \mathbb{N}}.$$

In this paper we introduce and study the notion of Ratliff-Rush filtration associated to an good filtration.

In section 1, we recall a few preliminaries concerning the definitions and properties of filtrations.

Section 2 is devoted to the study of Ratliff-Rush filtration associated to an good filtration. Let  $f = (I_n)_{n \in \mathbb{N}}$  be good filtration of the ring  $A$ , the Ratliff-Rush filtration associated to  $f$  is the sequence

$$\hat{f} = \{\hat{I}_n = \bigcup_{i \geq 0} (I_{n+i} : I_i)\}_{n \in \mathbb{N}}.$$

We show in Lemma 3.3 that there exists an integer  $N$ , such that for all  $n$ ,

$$\hat{I}_n = \bigcup_{i=1}^N (I_{n+i} : I_i) = I_{n+N} : I_N.$$

In Lemma 3.2 we prove that for an  $f$ -superficial and regular element  $x$  and for all  $n \gg 0$ ,

$$\hat{I}_n = I_{n+N} : xA = I_n.$$

In the section 3 we show that if  $f$  is regular then:

$$f \leq \hat{f} \leq P(f),$$

where  $P(f)$  is the prüferian closure of  $f$ , and the operation

$$f \longmapsto \hat{f}$$

is a prime operation on the set of good filtrations see Proposition 3.2.

We end with an example 3.1 that calculates  $\hat{f}$ .

## 2. PRELIMINARY NOTES

We start by giving the basic definitions. The rings considered are commutative and unitary.

**Definition 2.1.** A filtration on a ring  $A$  is a family  $f = (I_n)_{n \in \mathbb{N}}$  of ideals of  $A$  such that:

$$I_0 = A, I_{n+1} \subseteq I_n, I_n I_m \subseteq I_{n+m}, \forall n, m \in \mathbb{N}.$$

The set of filtrations of  $A$  is denoted by  $\mathbb{F}(A)$ .

If  $I$  is an ideal of  $A$  then the family  $f_I = (I^n)_{n \in \mathbb{N}}$  is a filtration of  $A$  called the  $I$ -adic filtration.

**Definition 2.2.** Let  $M$  be a module of  $A$ , a filtration of  $M$  is a family  $\Phi = (M_n)_{n \in \mathbb{N}}$  of sub-modules of  $M$  such that:

$$M_0 = M, M_{n+1} \subseteq M_n, \forall n \in \mathbb{N}.$$

Let  $f = (I_n)_{n \in \mathbb{N}} \in \mathbb{F}(A)$ , a filtration  $\Phi = (M_n)_{n \in \mathbb{N}}$  of  $M$  is said to be compatible with  $f$  if  $I_n M_p \subseteq M_{n+p}$  for all  $n, p \in \mathbb{N}$ .

**Definition 2.3.** Let  $J$  be an ideal of  $A$ , a filtration  $f = (I_n)_{n \in \mathbb{N}}$  of  $A$  is said to be  $J$ -good if  $J \subset I_1$  and if there is an integer  $r \geq 1$  such that

$$I_{n+1} = J I_n \forall n \geq r.$$

**Definition 2.4.** A filtration  $f = (I_n)_{n \in \mathbb{N}}$  of  $A$  is said to be noetherian if the Rees ring  $R(A, f) = \bigoplus_{n \geq 0} I_n X^n$  is noetherian.

**Remark 2.1.** If  $A$  is noetherian, then the filtration  $f = (I_n)_{n \in \mathbb{N}}$  of  $A$  is noetherian if and only if, there is an integer  $k \geq 1$  such that:  $I_{n+k} = I_n I_k$  for all  $n \geq k$  [1].

Therefore any good filtration is a noetherian filtration in a noetherian ring.

**Definition 2.5.** If  $f = (I_n)_{n \in \mathbb{N}}$  of  $A$  is noetherian then we call the order of  $f$  the smallest integer  $k \geq 1$  such that  $I_{n+k} = I_n I_k$ .

**Definition 2.6.** Let  $f = (I_n)_{n \in \mathbb{N}}$  and  $g = (J_n)_{n \in \mathbb{N}}$  be two filtrations of  $A$  such that  $f \leq g$  (i.e.  $I_n \subseteq J_n$ ),  $f$  is said to be a reduction of  $g$  if there are the integers  $k \geq 1$  and  $n_0 \in \mathbb{N}$  such that

$$\forall n \geq n_0, J_{n+k} = I_n J_k.$$

**Definition 2.7.** Let  $A$  be a noetherian ring and  $f = (I_n)_{n \in \mathbb{N}}$  be a noetherian filtration of  $A$ . An element  $x \in A$  is said to be  $f$ -superficial of degree  $k \in \mathbb{N}^*$  if  $x \in I_k$  and there is an integer  $c \in \mathbb{N}$  such that

$$(I_{n+k} : x) \cap I_c = I_n \quad \forall n \geq c.$$

**Remark 2.2.** If  $I_1$  contains an regular element, then there exist an  $f$ -superficial element which is regular, Corollary 8.5.9 of [6].

**Definition 2.8.** A filtration  $f = (I_n)_{n \in \mathbb{N}}$  on a ring  $A$  is said to be regular if  $I_1$  contains a regular element.

**Definition 2.9.** An element  $x \in A$  is said to be integral over the filtration  $f = (I_n)_{n \in \mathbb{N}}$  if  $x$  satisfies an equation:  $x^m + a_1 x^{m-1} + \dots + a_j x^{m-j} + \dots + a_m = 0$  where  $a_j \in I_j$  for all  $j$ . Let  $k \in \mathbb{N}$ , we put  $P_k(f) = \{x \in A, \text{integral on } f^{(k)}\}$ , where  $f^{(k)} = (I_{nk})_{n \in \mathbb{N}}$ . The sequence  $P(f) = (P_k(f))_{k \in \mathbb{N}}$  is a filtration of  $A$ , called the pr uferian closure of  $f$ .

### 3. RATLIFF-RUSH FILTRATION

**Lemma 3.1.** Let  $A$  be a ring and  $f = (I_n)_{n \in \mathbb{N}}$  be a good filtration of  $A$ . For any integer  $k$ , for all  $n \geq 1$ , we have:

$$I_{n+k} : I_n \subseteq I_{m+k} : I_m, \quad \text{for all } m \gg 0.$$

*Proof.* Let  $x \in I_{n+k} : I_n$ , then  $xI_n \subseteq I_{n+k}$ . For  $m \geq n+r$  we have:  $xI_m = xI_{n+m-n} \subseteq xI_n I_{m-n} \subseteq I_{n+k} I_{m-n} \subseteq I_{m+k}$ . Then:  $I_{n+k} : I_n \subseteq I_{m+k} : I_m$ .  $\square$

**Proposition 3.1.** Let  $A$  be a ring and  $f = (I_n)_{n \in \mathbb{N}}$  be a good filtration of  $A$ . For all integer  $k$  we put  $\widehat{I}_k = \bigcup_{n \geq 1} (I_{n+k} : I_n)$  and  $\widehat{f} = (\widehat{I}_k)_{k \in \mathbb{N}}$ , then  $\widehat{f}$  is a filtration of  $A$ .

*Proof.* Let  $\widehat{I}_k$  is an ideal of  $A$  for all integer  $k$  in application of lemma 3.1, and let  $x \in \widehat{I}_{k+1}$ , there exist  $n \in \mathbb{N}$  such that  $xI_n \subseteq I_{n+k+1}$ . We have  $xI_n \subseteq I_{n+k+1} \subseteq I_{n+k}$  then  $x \in \widehat{I}_k$  so  $\widehat{I}_{k+1} \subseteq \widehat{I}_k$ .

Let  $x \in \widehat{I}_p$ , and  $y \in \widehat{I}_q$ , then there exist  $n \in \mathbb{N}$  such that  $x \in I_{n+p} : I_n$ , and  $m \in \mathbb{N}$  so  $y \in I_{m+q} : I_m$ . For  $n, m$  large:

$$xyI_{n+m} = xyI_n I_m = xI_n yI_m \subseteq I_{n+p} I_{m+q} \subseteq I_{n+m+p+q}$$

we have  $xy \in \widehat{I}_{p+q}$ , then  $\widehat{I}_p \widehat{I}_q \subseteq \widehat{I}_{p+q}$ .  $\square$

**Lemma 3.2.** *Let  $A$  be a noetherian ring,  $f = (I_n)_{n \in \mathbb{N}}$  a good filtration,  $x$  a regular and  $f$ -superficial element of degree  $k$ . Then for all large  $n$  we have:*

$$I_{n+k} : xA = I_n.$$

*Proof.* First  $I_n \subset I_{n+k} : xA$  since  $x \in I_k$ . Now, let  $y \in I_{n+k} : xA$  with  $n$  large then:  $yx \in I_{n+k} \cap xA = I_n(I_k \cap xA)$  consequently  $yx = \lambda x$  where  $\lambda \in I_n$ . Since  $x$  is regular, so  $y \in I_n$ , therefore  $I_{n+k} : xA \subseteq I_n$ .  $\square$

**Lemma 3.3.** *Let  $A$  be a noetherian ring,  $f = (I_n)_{n \in \mathbb{N}}$  a good filtration of  $A$ , then  $\forall n \in \mathbb{N}, \exists N \in \mathbb{N}$ :*

$$\widehat{I}_n = \bigcup_{i \geq 0} (I_{n+i} : I_i) = I_{n+N} : I_N = I_{n+i} : I_i, \forall i \geq N.$$

*Proof.* There exists  $r \in \mathbb{N}$ , such that for all  $i \geq r$ ,  $I_{n+i} : I_i \subset I_{n+i+1} : I_{i+1}$ . Then, there exists  $N \in \mathbb{N}$ :  $I_{n+i} : I_i = I_{n+N} : I_N$ . For all  $i \leq r-1$ ,  $I_{n+i} : I_i \subset I_{n+N} : I_N$ . Therefore

$$\widehat{I}_n = I_{n+N} : I_N = I_{n+i} : I_i, \quad \text{for all } i \geq N.$$

$\square$

**Theorem 3.1.** *Let  $A$  be a noetherian ring,  $f = (I_n)_{n \in \mathbb{N}}$  a good filtration of  $A$ , with  $I_1$  contain an regular and  $f$ -superficial element. Then, for  $n \gg 0$ ,  $\widehat{I}_n = \bigcup_{i \geq 0} (I_{n+i} : I_i) = I_n$ .*

*Proof.* For all  $n$ ,  $I_n \subset \bigcup_{i \geq 0} (I_{n+i} : I_i)$ . Using lemma 3.2 and lemma 3.3, there exists  $x \in A$  and  $N \in \mathbb{N}$ , such that:  $I_{n+N} : xA = I_n$ , for all  $n \geq N$ ,  $\bigcup_{i \geq 0} (I_{n+i} : I_i) = I_{n+N} : I_N \subset I_{n+N} : xA = I_n$  for all  $n \geq N$ .  $\square$

**Corollary 3.1.** *Let  $A$  be a noetherian ring,  $f = (I_n)_{n \in \mathbb{N}}$  a good filtration of  $A$ , with  $I_1$  contain an regular and  $f$ -superficial element. Then:  $\widehat{f}$  is a good filtration.*

*Proof.* According to the previous theorem, for all  $n \gg 0$ ,  $\widehat{I}_n = I_n$ . As  $f$  is a good filtration then  $\widehat{f}$  is also  $\square$

**Proposition 3.2.** *Let  $A$  be a noetherian ring,  $I$  an ideal of  $A$ , then the map  $f \mapsto \widehat{f}$  is a semi prime operation in the class of  $I$ - good filtration containing an regular superficial element, which mean that:*

$$i) f \leq \widehat{f}$$

- ii)  $\widehat{\widehat{f}} = \widehat{f}$
- iii)  $f \leq g \Rightarrow \widehat{f} \leq \widehat{g}$
- iv)  $\widehat{f\widehat{g}} \leq \widehat{f\widehat{g}}$

*Proof.*

i) Let  $n \in \mathbb{N}$ , and  $i \in \mathbb{N}$ . Then  $I_n \subseteq I_{n+i} : I_i$ , so  $I_n \subseteq \widehat{I}_n$  and  $f \leq \widehat{f}$ .

ii) Let  $n \in \mathbb{N}$ . Using lemma 3.2 and theorem 3.1, there exists  $N$  such that  $\widehat{I}_n = I_{N+n} : I_N$ ,  $\widehat{\widehat{I}_n} = (\widehat{J_{N+n}} : \widehat{J_N})$  where  $J_n = \widehat{I}_n$ . For  $N$  big enough, we have:  $\widehat{J_{n+N}} = J_{n+N}$  and  $\widehat{J_N} = J_N$ , so  $\widehat{\widehat{I}_n} = (J_{n+N} : J_N) = J_n = \widehat{I}_n$ .

iii) Let  $f = (I_n)$  and  $g = (J_n)$  are  $I$ -good, such that for all  $n$   $I_n \subseteq J_n$ . According to theorem 3.1, there exist  $N \geq 1$  such that:  $\widehat{I}_n = I_{N+n} : I_N$  and  $\widehat{J}_n = J_{N+n} : J_N$ .

Let  $x \in \widehat{I}_n$ . Then  $xI_N \subseteq I_{N+n}$ . Since  $g$  is  $I$ -good there exist an integer  $n_0$  such that  $J_{n+n_0} = I^n J_{n_0}$  for all  $n$ . For  $N \gg 0$ , we have  $xJ_N = xI^{N-n_0} J_{n_0} \subseteq xI_{N+n_0} J_{n_0} \subseteq I_{n+N+n_0} J_{n_0} J_{n+N+n_0} J_{n_0} \subseteq J_{n+N}$ . Then  $\widehat{I}_n \subseteq \widehat{J}_n$ , therefore  $\widehat{f} \leq \widehat{g}$ .

iv) There exist  $N \geq 1$  such that:  $\widehat{I}_n = I_{N+n} : I_N$  and  $\widehat{J}_n = J_{N+n} : J_N$ . Let

$$x \in \widehat{I}_n = I_{n+N} : I_N$$

and

$$y \in \widehat{J}_n = J_{n+N} : J_N.$$

Then

$$xyI_N J_N = xI_N yJ_N \subseteq I_{n+N} J_{n+N},$$

and so

$$xy \in I_{n+N} J_{n+N} : I_N J_N = \widehat{I}_n \widehat{J}_n$$

and

$$\widehat{I_n \widehat{J}_n} \subseteq \widehat{I_n \widehat{J}_n}.$$

Therefore

$$\widehat{f\widehat{g}} \leq \widehat{f\widehat{g}}.$$

□

**Proposition 3.3.** Let  $A$  be a noetherian ring,  $f = (I_n)_{n \in \mathbb{N}}$  a good filtration of  $A$ , with  $I_1$  contain an regular and  $f$ -superficial element then  $f \leq \widehat{f} \leq P(f)$  where  $P(f)$  is the prufrian closer of  $f$ .

*Proof.* According to theorem 3.1, there exists an integer  $N$  such that:  $\widehat{I_{n+N}} = I_{n+N} = I_n I_N = I_n \widehat{I_N}$  which proves that  $f$  is a reduction of  $\widehat{f}$ . Then  $\widehat{f} \leq P(f)$  (see [ [5], (4.6)]).  $\square$

**Example 3.1.** Let  $k$  be a field,  $A = k[X, Y]$ ,

$$I = (X^2, Y^2), \quad J = (X^2, Y^2, XY),$$

and  $I$  and  $J$  are two ideals of the ring  $A$ . We have

$$I \subset J$$

and

$$IJ = (X^4, X^3Y, X^2Y^2, XY^3, Y^4) = J^2.$$

So,  $I$  is a reduction of  $J$ . We put

$$I_0 = A, I_1 = I,$$

and for all  $n \geq 2$ ,

$$I_n = IJ^{n-1}.$$

We have

$$I_{n+1} \subset I_n$$

and for all  $n \in \mathbb{N}$  and  $p \geq 2$ ,

$$I_n I_p = IJ^{n-1} IJ^{p-1} = I^2 J^{n+p-2} \subset IJ^{n+p-1} \subset I_{n+p}.$$

For all  $n \geq 2$ ,

$$II_n = I_{n+1}.$$

So  $f = (I_n)_{n \in \mathbb{N}}$  is a good filtration on the ring  $A$ .

Let's show that, for all  $n \in \mathbb{N}$ ,  $k \in \mathbb{N}$ ,  $k \geq 2$ ,

$$J^{n+k} : J^k = J^n.$$

Put  $L = (X, Y)$ . Then  $L^2 = J$ . Let  $z \in J^{n+k} : J^k$  be an homogeneous element. Then

$$zL^{2k} \subset J^{n+k} = L^{2n+2k},$$

thus  $d(z) = 2n + 2k - 2k = 2n$ . So  $z \in L^{2n} = J^n$ . Therefore,

$$J^{n+k} : J^k \subset J^n,$$

and the equality holds since the inverse inclusion is trivial.

We have

$$\cup_{k \geq 2}(I_{n+k} : I_k) = \cup_{k \geq 2}(J^{n+k} : J^k) = J^n.$$

So, for all  $n$  we have

$$\cup_{k \geq 2}(I_{n+k} : I_k) = \cup_{k \geq 2}(J^{n+k} : J^k) = J^n.$$

Then

$$\begin{aligned} \widehat{I}_n &= \cup_{k \geq 1}(I_{n+k} : I_k) \\ &= (I_{n+1} : I_1) \cup J^n \\ &= (I_{n+1} : I_1) \\ &= (J^{n+1} : I_1) \\ &= J^n. \end{aligned}$$

So,

$$\widehat{f} = (J^n)_{n \in \mathbb{N}}$$

is the  $J$ -adic filtration.

#### CONFLICT OF INTEREST

Authors declare that there is no conflict of interest related to this paper.

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