

SOME NEW RESULTS ASSOCIATED WITH
CARATHÉODORY FUNCTIONS OF ORDER α H. M. SRIVASTAVA AND SHIGEYOSHI OWA¹

ABSTRACT. Let $\mathcal{P}(\alpha)$ be the class of functions $p(z)$ which are Carathéodory functions of order α ($0 \leq \alpha < 1$) in the open unit disk $\mathbb{U}$. Considering the extremal function $p(z)$ for the class $\mathcal{P}(\alpha)$, a new class $\mathcal{P}^*(\beta)$ ($\beta \in \mathbb{R}$) of functions $q(z)$ in $\mathbb{U}$ is defined. The object of the present paper is to develop several interesting coefficient inequalities for the functions $q(z)$ in the new class $\mathcal{P}^*(\beta)$ introduced here.

1. INTRODUCTION

Let $\mathcal{P}$ denote the class of functions $p(z)$ of the form:

$$p(z) = 1 + \sum_{n=1}^{\infty} a_n z^n,$$

which are analytic in the open unit disk

$$\mathbb{U} = \{z : z \in \mathbb{C} \text{ and } |z| < 1\}.$$

Also, let $\mathcal{P}(\alpha)$ be the subclass of $\mathcal{P}$ consisting of functions $p(z)$ which satisfy the following inequality:

$$\Re(p(z)) > \alpha \quad (z \in \mathbb{U})$$

for some real α ($0 \leq \alpha < 1$). If $p(z) \in \mathcal{P}(0)$, then $p(z)$ is said to be a Carathéodory function in $\mathbb{U}$ (cf. [1] and [3]). Therefore, a function $p(z)$ in the class $\mathcal{P}(\alpha)$ is said to be a Carathéodory function of order α in $\mathbb{U}$ (see [4] and [9]). Furthermore, some interesting sufficient conditions for Carathéodory functions were recently investigated by Cho and Kim [2], Owa (see [5] and [6]), Shiraishi, Owa and Srivastava [7], and Sim, Kwon, Cho and Srivastava [8].

It is well known that a function $p(z)$ given by

$$(1.1) \quad p(z) = \frac{1 + (1 - 2\alpha)z}{1 - z} = 1 + 2(1 - \alpha) \sum_{n=1}^{\infty} z^n$$

is the extremal function for the class $\mathcal{P}(\alpha)$. In view of this extremal function $p(z)$ given by (1.1), we consider a function $q(z)$ defined by

$$\begin{aligned} q(z) &= \frac{1 + (1 - 2\alpha)z}{1 - \sqrt[3]{z^2}} \\ (1.2) \quad &= 1 + \sum_{n=1}^{\infty} z^{\frac{2n}{3}} + (1 - 2\alpha) \sum_{n=1}^{\infty} z^{\frac{2n+1}{3}} \\ &= 1 + \left(1 + (1 - 2\alpha)z^{\frac{1}{3}}\right) \sum_{n=1}^{\infty} z^{\frac{2n}{3}} \quad (z \in \mathbb{U}), \end{aligned}$$

where we consider the *principal* value for $\sqrt[3]{z}$. For $q(z)$ given by (1.2), we see that $q(0) = 1$ and

$$\begin{aligned} \Re(q(z)) &= \Re\left(\frac{1 + (1 - 2\alpha)e^{i\theta}}{1 - e^{\frac{2}{3}i\theta}}\right) \\ &= \Re\left(\frac{e^{-\frac{1}{3}i\theta} + (1 - 2\alpha)e^{\frac{2}{3}i\theta}}{e^{-\frac{1}{3}i\theta} - e^{\frac{1}{3}i\theta}}\right) \\ &= \frac{1}{2} - (1 - 2\alpha)\cos\left(\frac{1}{3}\theta\right) \\ &> \begin{cases} \frac{4\alpha - 1}{2} & \left(\frac{1}{4} \leq \alpha < \frac{1}{2}\right) \\ \frac{3 - 4\alpha}{2} & \left(\frac{1}{2} \leq \alpha \leq \frac{3}{4}\right) \end{cases} \end{aligned}$$

for $z = e^{i\theta}$.

In view of the above considerations, we introduce a new idea for Carathéodory functions. Let $\mathcal{Q}$ be the

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class of functions $q(z)$ of the form:

$$(1.3) \quad q(z) = 1 + \sum_{n=1}^{\infty} \left(a_{\frac{2n}{3}} + a_{\frac{2n+1}{3}} z^{\frac{1}{3}} \right) z^{\frac{2n}{3}},$$

which are analytic in $\mathbb{U}$. Here, as before, we consider the *principal* value for $z^{\frac{1}{3}}$. If $q(z) \in \mathcal{Q}$ satisfies the following inequality:

$$\Re(q(z)) > \beta \quad (z \in \mathbb{U})$$

for some real β , then we say that $q(z) \in \mathcal{Q}(\beta)$, where

$$(1.4) \quad \beta := \begin{cases} \frac{4\alpha - 1}{2} & \left(\frac{1}{4} \leq \alpha < \frac{1}{2} \right) \\ \frac{3 - 4\alpha}{2} & \left(\frac{1}{2} \leq \alpha \leq \frac{3}{4} \right). \end{cases}$$

We note that the function $q(z)$ given by (1.2) is the extremal function for the class $\mathcal{Q}(\beta)$.

Example. If we take $\alpha = \frac{1}{2}$ in (1.2), then we readily see that

$$q(0) = 1 \text{ and } q(z) = \frac{1}{1 - \sqrt[3]{z^2}} = 1 + \sum_{n=1}^{\infty} z^{\frac{2n}{3}} \quad (z \in \mathbb{U}).$$

Thus, for $z = re^{i\theta}$ ($0 < r < 1$; $0 \leq \theta < 2\pi$), we have

$$(1.5) \quad \begin{aligned} \Re(q(z)) &= \frac{1}{2} + \frac{1 - r^{\frac{4}{3}}}{2 \left(1 - 2r^{\frac{2}{3}} \cos\left(\frac{2}{3}\theta\right) + r^{\frac{4}{3}} \right)} \\ &\geq \frac{1}{2} + \frac{1 - r^{\frac{2}{3}}}{2(1 + r^{\frac{2}{3}})} \\ &> \frac{1}{2}. \end{aligned}$$

Equation (1.5) shows us that $q(z) \in \mathcal{Q}(\frac{1}{2})$.

2. A SET OF COEFFICIENT INEQUALITIES

First of all, we investigate some coefficient inequalities for the function $q(z)$ concerning with our new class $\mathcal{Q}(\beta)$.

Theorem 1. *If a function $q(z)$ given by (1.3) satisfies the following inequality:*

$$(2.1) \quad \sum_{n=1}^{\infty} \left(\left| a_{\frac{2n}{3}} \right| + \left| a_{\frac{2n+1}{3}} \right| \right) \leq \begin{cases} \frac{3 - 4\alpha}{2} & \left(\frac{1}{4} \leq \alpha < \frac{1}{2} \right) \\ \frac{4\alpha - 1}{2} & \left(\frac{1}{2} \leq \alpha \leq \frac{3}{4} \right), \end{cases}$$

then $q(z) \in \mathcal{Q}(\beta)$.

Proof. By the definition for the function class $\mathcal{Q}(\beta)$, if $q(z)$ satisfies the following inequality:

$$|q(z) - 1| < 1 - \beta \quad (z \in \mathbb{U}),$$

then we say that $q(z) \in \mathcal{Q}(\beta)$, where β is given by (1.4). We note for $z \in \mathbb{U}$ that

$$\begin{aligned} |q(z) - 1| &= \left| \sum_{n=1}^{\infty} \left(a_{\frac{2n}{3}} + a_{\frac{2n+1}{3}} z^{\frac{1}{3}} \right) z^{\frac{2n}{3}} \right| \\ &< \sum_{n=1}^{\infty} \left(\left| a_{\frac{2n}{3}} \right| + \left| a_{\frac{2n+1}{3}} \right| \right) \\ &\leq \beta = \begin{cases} \frac{3 - 4\alpha}{2} & \left(\frac{1}{4} \leq \alpha < \frac{1}{2} \right) \\ \frac{4\alpha - 1}{2} & \left(\frac{1}{2} \leq \alpha \leq \frac{3}{4} \right). \end{cases} \end{aligned}$$

Therefore, since (by hypothesis) $q(z)$ satisfies the coefficient inequality (2.1), we conclude that $q(z) \in \mathcal{Q}(\beta)$. $\square$

Letting $\alpha = \frac{1}{4}$ or $\alpha = \frac{3}{4}$ in Theorem 1, we deduce Corollary 1 below.

Corollary 1. *If a function $q(z)$ given by (1.3) satisfies the following inequality:*

$$\sum_{n=1}^{\infty} \left(\left| a_{\frac{2n}{3}} \right| + \left| a_{\frac{2n+1}{3}} \right| \right) \leq 1,$$

then $q(z) \in \mathcal{Q}(0)$.

If we set $\alpha = \frac{1}{2}$ in Theorem 1, then we have the following corollary.

Corollary 2. *If a function $q(z)$ given by (1.3) satisfies the following inequality:*

$$\sum_{n=1}^{\infty} \left(\left| a_{\frac{2n}{3}} \right| + \left| a_{\frac{2n+1}{3}} \right| \right) \leq \frac{1}{2},$$

then $q(z) \in \mathcal{Q}(\frac{1}{2})$.

Next, we consider a function $q(z)$ given by (1.3) with

$$(2.2) \quad a_{\frac{2n}{3}} = \left| a_{\frac{2n}{3}} \right| e^{i(\pi - \frac{2n}{3}\theta)}$$

and

$$(2.3) \quad a_{\frac{2n+1}{3}} = \left| a_{\frac{2n+1}{3}} \right| e^{i(\pi - \frac{2n+1}{3}\theta)}$$

for some θ ($0 \leq \theta < 2\pi$) and for $n = 1, 2, 3, \dots$.

Theorem 2. *Let a function $q(z)$ be given by (1.3) with (2.2) and (2.3). Then $q(z)$ belongs to the class $\mathcal{Q}(\beta)$ if and only if*

$$(2.4) \quad \sum_{n=1}^{\infty} \left(\left| a_{\frac{2n}{3}} \right| + \left| a_{\frac{2n+1}{3}} \right| \right) \leq 1 - \beta,$$

where β is defined by (1.4).

Proof. First of all, by appealing to Theorem 1, we know that $q(z)$ belongs to the function class $\mathcal{Q}(\beta)$ if $q(z)$ satisfies the coefficient inequality (2.4). We next suppose that $q(z) \in \mathcal{Q}(\beta)$. Then, upon letting $z = re^{i\theta}$ ($0 < r < 1$), we see that

$$\begin{aligned}
 (2.5) \quad \Re(q(z)) &= 1 + \Re\left(\sum_{n=1}^{\infty} \left(a_{\frac{2n}{3}} + \left(a_{\frac{2n+1}{3}} z^{\frac{1}{3}}\right) z^{\frac{2n}{3}}\right)\right) \\
 &= 1 + \Re\left(\sum_{n=1}^{\infty} \left(\left|a_{\frac{2n}{3}}\right| + \left|a_{\frac{2n+1}{3}}\right| r^{\frac{1}{3}}\right) r^{\frac{2n}{3}} e^{i\pi}\right) \\
 &= 1 - \sum_{n=1}^{\infty} \left(\left|a_{\frac{2n}{3}}\right| + \left|a_{\frac{2n+1}{3}}\right| r^{\frac{1}{3}}\right) r^{\frac{2n}{3}} \\
 &> \beta.
 \end{aligned}$$

This last inequality in (2.5) shows us that the inequality (2.4) holds true for $r \rightarrow 1-$. This completes the proof of Theorem 2. $\square$

Taking $\beta = 0$ in Theorem 2, we have Corollary 3 below.

Corollary 3. *Let $q(z)$ be given by (1.3) with (2.2) and (2.3). Then $q(z) \in \mathcal{Q}(0)$ if and only if*

$$\sum_{n=1}^{\infty} \left(\left|a_{\frac{2n}{3}}\right| + \left|a_{\frac{2n+1}{3}}\right|\right) \leq 1.$$

Furthermore, if we set $\beta = \frac{1}{2}$ in Theorem 2, then we are led easily to the following corollary.

Corollary 4. *Let $q(z)$ be given by (1.3) with (2.2) and (2.3). Then $q(z) \in \mathcal{Q}(\frac{1}{2})$ if and only if*

$$\sum_{n=1}^{\infty} \left(\left|a_{\frac{2n}{3}}\right| + \left|a_{\frac{2n+1}{3}}\right|\right) \leq \frac{1}{2}.$$

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3. AN OPEN PROBLEM

Since we have not yet found any extremal functions for Theorem 1 and Theorem 2, we find it to be worthwhile to pose the following *open* problem arising from our present investigation.

Open Problem. What kind of functions $q(z)$ can be the *extremal* functions for Theorem 1 and Theorem 2?

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